Attorney's Docket No.: 07977-097003 / US3176D1D1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hongyong Zhang Art Unit: 2826

Serial No.: 09/804,654 Examiner: Thomas L. Dickey

Filed: March 12, 2001 Conf. No.: 1999

Title : SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE

SAME

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR INITIALED PTO FORM 1449

Upon reviewing the file, applicant has not received a fully initialed copy of the enclosed PTO Form 1449 that accompanied an information disclosure statement filed March 12, 2001. It is believed that this omission is the result of a USPTO error and that this information disclosure statement complied with and was filed timely according to 37 CFR 1.97. Although initially filed March 12, 2001, this application's file was rebuilt, and the IDS as available on PAIR is stamped by OIPE with the date of December 6, 2006, a copy of the portion of the IDS not initialed and returned is enclosed for convenience.

In particular, pages 3 and 4 of the information disclosure statement were not initialed and returned with the rest of the IDS. These pages include a copy of a PTO Form 892 and cite two references. For convenience, a PTO Form 1449 citing these two references is enclosed with this request. Applicant requests that the Examiner initial and return the enclosed PTO Form 1449 (or the two pages of the originally filed IDS) as soon as possible. Notably, the issue fee was paid on April 10, 2008.

Respectfully submitted.

Date: 4/11/08

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-097003	Application No. 09/804,654	
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Hongyong Zhang		
		Filing Date March 12, 2001	Group Art Unit 2826	

	U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate	
	AA	5,892,260	04/06/1999	Okumura et al.			01/26/1996	
	AB	5,942,768	08/24/1999	Zhang			02/18/1997	
	AC							
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	AJ							
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	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Translation	
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AL							
	AM							
	AN							
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Other Documents (include Author, Title, Date, and Place of Publication)							
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Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no	of in conformance and not considered. Include copy of this form with
I next communication to applicant	

Application No. Applicant(s) O9/304,975 Zhang										
		Notice of Refer	ences Cite	0 6 2006 Ryaminer	douge Abroban	Group Art Unit 2811	Page 1 of 1			
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		DOCUMENT NO.	8/24/99		Zhang		257	66		
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U. S. Patent and Trademark Office PTO-892 (Rev. 9-95)



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